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# SIGMA-CONTINUITY WITH CLOSED WITNESSES

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ABSTRACT. We use variants of the  $\mathbb{G}_0$  dichotomy to establish a refinement of Solecki's basis theorem for the family of Baire-class one functions which are not  $\sigma$ -continuous with closed witnesses.

## INTRODUCTION

A subset of a topological space is  $F_\sigma$  if it is a union of countably-many closed sets, *Borel* if it is in the  $\sigma$ -algebra generated by the closed sets, and *analytic* if it is a continuous image of a closed subset of  $\mathbb{N}^\mathbb{N}$ .

A function between topological spaces is  $\sigma$ -continuous with closed witnesses if its domain is a union of countably-many closed sets on which it is continuous, *Baire class one* if preimages of open sets are  $F_\sigma$ , *strongly  $\sigma$ -closed-to-one* if its domain is a union of countably-many analytic sets intersecting the preimage of each singleton in a closed set,  *$F_\sigma$ -to-one* if the preimage of each singleton is  $F_\sigma$ , and *Borel* if preimages of open sets are Borel.

A *topological embedding* of a topological space  $X$  into a topological space  $Y$  is a function  $\pi: X \rightarrow Y$  which is a homeomorphism onto its image, where the latter is endowed with the subspace topology. A *topological embedding* of a set  $A \subseteq X$  into a set  $B \subseteq Y$  is a topological embedding  $\pi$  of  $X$  into  $Y$  such that  $x \in A \iff \pi(x) \in B$ , for all  $x \in X$ . A *topological embedding* of a function  $f: X \rightarrow Y$  into a function  $f': X' \rightarrow Y'$  is a pair  $(\pi_X, \pi_Y)$ , consisting of topological embeddings  $\pi_X$  of  $X$  into  $X'$  and  $\pi_Y$  of  $f(X)$  into  $f'(X')$ , with  $f' \circ \pi_X = \pi_Y \circ f$ .

A *Polish space* is a second countable topological space which admits a compatible complete metric.

Some time ago, Jayne-Rogers showed that a function between Polish spaces is  $\sigma$ -continuous with closed witnesses if and only if preimages of closed sets are  $F_\sigma$  (see [JR82, Theorem 1]). Solecki later refined this result by providing a two-element basis, under topological embeddability, for the family of Baire-class one functions which do not have

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this property (see [Sol98, Theorem 3.1]). Here we use variants of the  $\mathbb{G}_0$  dichotomy (see [KST99]) to establish a pair of dichotomies which together refine Solecki's theorem.

In §1, we use Lecomte's  $\aleph_0$ -dimensional analog of the  $\mathbb{G}_0$  dichotomy theorem (see [Lec09, Theorem 1.6] or [Mil11, Theorem 18]) to give a new proof of a special case of Hurewicz's dichotomy theorem (see, for example, [Kec95, Theorem 21.18]), yielding the existence of a one-element basis, under topological embeddability, for the family of Borel sets which are not  $F_\sigma$ . To be precise, let  $\mathbb{N}_*^{\leq \mathbb{N}}$  denote the set  $\mathbb{N}^{\leq \mathbb{N}}$ , equipped with the smallest topology making the sets  $\mathcal{N}_s^* = \{t \in \mathbb{N}^{\leq \mathbb{N}} \mid s \sqsubseteq t\}$  clopen, for all  $s \in \mathbb{N}^{< \mathbb{N}}$ . A basis for this topology is given by the sets of the form  $\mathcal{N}_s^* \setminus \bigcup_{m < n} \mathcal{N}_{s \smallfrown (m)}^*$ , for  $n \in \mathbb{N}$  and  $s \in \mathbb{N}^{< \mathbb{N}}$ . Note that if  $s \in \mathbb{N}^{< \mathbb{N}}$  and  $(z_n)_{n \in \mathbb{N}} \in (\mathbb{N}^{\leq \mathbb{N}})^{\mathbb{N}}$ , then  $s \smallfrown (n) \smallfrown z_n \rightarrow s$  as  $n \rightarrow \infty$ . We show that if  $X$  is a Polish space and  $B \subseteq X$  is Borel, then either  $B$  is  $F_\sigma$ , or there is a topological embedding  $\pi: \mathbb{N}_*^{\leq \mathbb{N}} \rightarrow X$  of  $\mathbb{N}_*^{\leq \mathbb{N}}$  into  $B$ . We then note that the same argument, using the parametrized  $\aleph_0$ -dimensional analog of the  $\mathbb{G}_0$  dichotomy theorem (i.e., the straightforward common generalization of [Mil12, Theorems 18 and 31]) in lieu of its non-parametrized counterpart, yields a slight weakening of Saint Raymond's parametrized analog of Hurewicz's result (see, for example, [Kec95, Theorem 35.45]). As a corollary, we show that  $F_\sigma$ -to-one Borel functions between Polish spaces are strongly  $\sigma$ -closed-to-one.

In §2, we provide a simple characterization of Baire-class one functions that is used throughout the remainder of the paper. As a first application, we use the Lecomte-Zeleny  $\Delta_2^0$ -measurable analog of the  $\mathbb{G}_0$  dichotomy theorem (see [LZ14, Corollary 4.5]) to establish that the property of being Baire class one is determined by behaviour on countable sets.

In §3, we use the Hurewicz dichotomy theorem to provide a one-element basis, under topological embeddability, for the family of Baire-class one functions which are not  $F_\sigma$ -to-one. To be precise, fix a function  $f_0: \mathbb{N}_*^{\leq \mathbb{N}} \rightarrow \mathbb{R}$  such that  $f_0 \upharpoonright \mathbb{N}^{\mathbb{N}}$  has constant value zero and  $f_0 \upharpoonright \mathbb{N}^{< \mathbb{N}}$  is an injection into  $\{1/n \mid n \in \mathbb{N}\}$ .

**Theorem 1.** *Suppose that  $X$  and  $Y$  are Polish spaces and  $f: X \rightarrow Y$  is a Baire-class one function. Then exactly one of the following holds:*

- (1) *The function  $f$  is  $F_\sigma$ -to-one.*
- (2) *There is a topological embedding of  $f_0$  into  $f$ .*

In §4, we use the sequential  $\aleph_0$ -dimensional analog of the  $\mathbb{G}_0$  dichotomy theorem (i.e., the straightforward common generalization of [Mil12, Theorems 18 and 21]) to provide a one-element basis, under topological embeddability, for the family of  $F_\sigma$ -to-one Baire-class one

functions which are not  $\sigma$ -continuous with closed witnesses. To be precise, let  $\mathbb{N}_{**}^{\leq \mathbb{N}}$  denote the set  $\mathbb{N}^{\leq \mathbb{N}}$ , equipped with the smallest topology making the sets  $\mathcal{N}_s^*$  and  $\{s\}$  clopen, for all  $s \in \mathbb{N}^{< \mathbb{N}}$ . Define  $f_1: \mathbb{N}_{**}^{\leq \mathbb{N}} \rightarrow \mathbb{N}_{**}^{\leq \mathbb{N}}$  by  $f_1(s) = s$ .

**Theorem 2.** *Suppose that  $X$  and  $Y$  are Polish spaces and  $f: X \rightarrow Y$  is an  $F_\sigma$ -to-one Baire-class one function. Then exactly one of the following holds:*

- (1) *The function  $f$  is  $\sigma$ -continuous with closed witnesses.*
- (2) *There is a topological embedding of  $f_1$  into  $f$ .*

As promised, Theorem 2 trivially yields the following.

**Theorem 3** (Jayne-Rogers). *Suppose that  $X$  and  $Y$  are Polish spaces, and  $f: X \rightarrow Y$  is a function with the property that  $f^{-1}(C)$  is  $F_\sigma$ , for all closed subsets  $C$  of  $Y$ . Then  $f$  is  $\sigma$ -continuous with closed witnesses.*

And Theorems 1 and 2 trivially yield the following.

**Theorem 4** (Solecki). *Suppose that  $X$  and  $Y$  are Polish spaces and  $f$  is a Baire-class one function. Then exactly one of the following holds:*

- (1) *The function  $f$  is  $\sigma$ -continuous with closed witnesses.*
- (2) *There is a topological embedding of  $f_0$  or  $f_1$  into  $f$ .*

## 1. $F_\sigma$ SETS

We begin this section with a straightforward observation.

**Proposition 1.1.** (a) *The set  $\mathbb{N}^{\mathbb{N}}$  is not an  $F_\sigma$  subspace of  $\mathbb{N}_{**}^{\leq \mathbb{N}}$ .*  
 (b) *The set  $\mathbb{N}^{\mathbb{N}}$  is a closed subspace of  $\mathbb{N}_{**}^{\leq \mathbb{N}}$ .*

*Proof.* To see (a), note that a subset of a topological space is  $G_\delta$  if it is an intersection of countably-many open sets. As  $\mathbb{N}^{< \mathbb{N}}$  is countable and  $\mathbb{N}^{\mathbb{N}}$  is dense in  $\mathbb{N}_{**}^{\leq \mathbb{N}}$ , it follows that  $\mathbb{N}^{\mathbb{N}}$  is a dense  $G_\delta$  subspace of  $\mathbb{N}_{**}^{\leq \mathbb{N}}$ . As  $\mathbb{N}^{< \mathbb{N}}$  is also dense in  $\mathbb{N}_{**}^{\leq \mathbb{N}}$ , the Baire category theorem (see, for example, [Kec95, Theorem 8.4]) ensures that it is not a  $G_\delta$  subspace of  $\mathbb{N}_{**}^{\leq \mathbb{N}}$ , thus  $\mathbb{N}^{\mathbb{N}}$  is not an  $F_\sigma$  subspace of  $\mathbb{N}_{**}^{\leq \mathbb{N}}$ .

To see (b), note that  $\{s\}$  is clopen in  $\mathbb{N}_{**}^{\leq \mathbb{N}}$  for all  $s \in \mathbb{N}^{< \mathbb{N}}$ , so  $\mathbb{N}^{< \mathbb{N}}$  is open in  $\mathbb{N}_{**}^{\leq \mathbb{N}}$ , thus  $\mathbb{N}^{\mathbb{N}}$  is closed in  $\mathbb{N}_{**}^{\leq \mathbb{N}}$ .  $\square$

An  $\aleph_0$ -dimensional dihypergraph on a set  $X$  is a set of non-constant elements of  $X^{\mathbb{N}}$ . A homomorphism from an  $\aleph_0$ -dimensional dihypergraph  $G$  on  $X$  to an  $\aleph_0$ -dimensional dihypergraph  $H$  on  $Y$  is a function  $\phi: X \rightarrow Y$  sending elements of  $G$  to elements of  $H$ .

Fix sequences  $s_n^{\mathbb{N}} \in \mathbb{N}^{\mathbb{N}}$  such that  $\forall s \in \mathbb{N}^{< \mathbb{N}} \exists n \in \mathbb{N} s \sqsubseteq s_n^{\mathbb{N}}$ , and define  $\aleph_0$ -dimensional dihypergraphs on  $\mathbb{N}^{\mathbb{N}}$  by setting

$$\mathbb{G}_{0,n}^{\mathbb{N}} = \{(s_n^{\mathbb{N}} \frown (i) \frown z)_{i \in \mathbb{N}} \mid z \in \mathbb{N}^{\mathbb{N}}\},$$

for all  $n \in \mathbb{N}$ , and  $\mathbb{G}_0^{\mathbb{N}} = \bigcup_{n \in \mathbb{N}} \mathbb{G}_{0,n}^{\mathbb{N}}$ .

We now establish a technical but useful sufficient condition for the topological embeddability of  $\mathbb{N}^{\mathbb{N}}$ .

**Proposition 1.2.** *Suppose that  $X$  is a metric space,  $Y \subseteq X$  is a set, and there are a dense  $G_\delta$  set  $C \subseteq \mathbb{N}^{\mathbb{N}}$  and a continuous homomorphism  $\phi: C \rightarrow Y$  from  $\mathbb{G}_0^{\mathbb{N}} \upharpoonright C$  to the  $\aleph_0$ -dimensional dihypergraph*

$$G = \{(y_n)_{n \in \mathbb{N}} \in Y^{\mathbb{N}} \mid \exists x \in X \setminus Y \ x = \lim_{n \rightarrow \infty} y_n\}.$$

*Then there is a topological embedding  $\pi: \mathbb{N}_*^{\leq \mathbb{N}} \rightarrow X$  of  $\mathbb{N}^{\mathbb{N}}$  into  $Y$ .*

*Proof.* Fix dense open sets  $U_n \subseteq \mathbb{N}^{\mathbb{N}}$  such that  $\bigcap_{n \in \mathbb{N}} U_n \subseteq C$ . We will recursively construct sequences  $(u_s)_{s \in \mathbb{N}^n}$  of elements of  $\mathbb{N}^{< \mathbb{N}}$  and sequences  $(x_s)_{s \in \mathbb{N}^n}$  of elements of  $X$ , for all  $n \in \mathbb{N}$ , such that:

- (1)  $\forall i \in \mathbb{N} \forall s \in \mathbb{N}^{< \mathbb{N}} \ u_s \sqsubset u_{s \frown (i)}$ .
- (2)  $\forall i \in \mathbb{N} \forall s \in \mathbb{N}^{< \mathbb{N}} \ \mathcal{N}_{u_{s \frown (i)}} \subseteq U_{|s|}$ .
- (3)  $\forall i \in \mathbb{N} \forall s \in \mathbb{N}^{< \mathbb{N}} \ \text{diam}_{d_X}(\phi(\mathcal{N}_{u_{s \frown (i)}})) < 1/|s|$ .
- (4)  $\forall i \in \mathbb{N} \forall s \in \mathbb{N}^{< \mathbb{N}} \ \overline{\phi(\mathcal{N}_{u_{s \frown (i)}})} \subseteq \mathcal{B}_{d_X}(x_s, 1/i)$ .
- (5)  $\forall i \in \mathbb{N} \forall s \in \mathbb{N}^{< \mathbb{N}} \ x_s \notin \overline{\phi(\mathcal{N}_{u_{s \frown (i)}})}$ .
- (6)  $\forall i, j \in \mathbb{N} \forall s \in \mathbb{N}^{< \mathbb{N}} \ (i \neq j \implies \overline{\phi(\mathcal{N}_{u_{s \frown (i)}})} \cap \overline{\phi(\mathcal{N}_{u_{s \frown (j)}})} = \emptyset)$ .

We begin by setting  $u_\emptyset = \emptyset$ . Suppose now that  $n \in \mathbb{N}$  and we have already found  $(u_s)_{s \in \mathbb{N}^{\leq n}}$  and  $(x_s)_{s \in \mathbb{N}^{< n}}$ . For each  $s \in \mathbb{N}^n$ , fix  $u'_s \in \mathbb{N}^{< \mathbb{N}}$  such that  $u_s \sqsubseteq u'_s$ ,  $\mathcal{N}_{u'_s} \subseteq U_n$ , and  $\text{diam}_{d_X}(\phi(\mathcal{N}_{u'_s})) < 1/n$ , fix  $n_s \in \mathbb{N}$  for which  $u'_s \sqsubseteq s_{n_s}^{\mathbb{N}}$ , and appeal to the Baire category theorem to find  $z_s \in \mathbb{N}^{\mathbb{N}}$  with the property that  $s_{n_s}^{\mathbb{N}} \frown (i) \frown z_s \in C$ , for all  $i \in \mathbb{N}$ . Set  $y_{i,s} = \phi(s_{n_s}^{\mathbb{N}} \frown (i) \frown z_s)$  for all  $i \in \mathbb{N}$ , as well as  $x_s = \lim_{n \rightarrow \infty} y_{i,s}$ . As  $x_s \notin \{y_{i,s} \mid i \in \mathbb{N}\}$ , there is an infinite set  $I_s \subseteq \mathbb{N}$  for which  $(y_{i,s})_{i \in I_s}$  is injective. By passing to an infinite subset of  $I_s$ , we can assume that  $d_X(x_s, y_{i_{k,s},s}) < 1/k$  for all  $k \in \mathbb{N}$ , where  $(i_{k,s})_{k \in \mathbb{N}}$  is the strictly increasing enumeration of  $I_s$ . For each  $k \in \mathbb{N}$ , fix  $\epsilon_{k,s} > 0$  strictly less than  $1/k - d_X(x_s, y_{i_{k,s},s})$ ,  $d_X(x_s, y_{i_{k,s},s})$ , and  $d_X(y_{i_{k,s},s}, y_{i_{k,s},s})/2$  for all  $i \in I_s \setminus \{i_{k,s}\}$ , and fix an initial segment  $u_{s \frown (k)}$  of  $s_{n_s}^{\mathbb{N}} \frown (i_{k,s}) \frown z_s$  of length at least  $n_s + 1$  with the property that  $\phi(\mathcal{N}_{u_{s \frown (k)}}) \subseteq \mathcal{B}_{d_X}(y_{i_{k,s},s}, \epsilon_{k,s})$ . Our choice of  $u'_s$  ensures that conditions (1) – (3) hold, and our strict upper bounds on  $\epsilon_{k,s}$  yield the remaining conditions. This completes the recursive construction.

Condition (1) ensures that we obtain a function  $\psi: \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$  by setting  $\psi(s) = \bigcup_{n \in \mathbb{N}} u_{s \upharpoonright n}$ , and condition (2) implies that  $\psi(\mathbb{N}^{\mathbb{N}}) \subseteq C$ . Set  $x_s = (\phi \circ \psi)(s)$  for  $s \in \mathbb{N}^{\mathbb{N}}$ , and define  $\pi: \mathbb{N}_*^{\leq \mathbb{N}} \rightarrow X$  by  $\pi(s) = x_s$ . We will show that  $\pi$  is a topological embedding of  $\mathbb{N}^{\mathbb{N}}$  into  $Y$ .

**Lemma 1.3.** *Suppose that  $s \in \mathbb{N}^{< \mathbb{N}}$ . Then  $\pi(\mathcal{N}_s^*) \subseteq \overline{\phi(\mathcal{N}_{u_s})}$ .*

*Proof.* Simply observe that

$$\begin{aligned}\pi(\mathcal{N}_s^*) &= (\phi \circ \psi)(\mathcal{N}_s) \cup \{x_t \mid t \in \mathcal{N}_s^* \setminus \mathcal{N}_s\} \\ &\subseteq \phi(\mathcal{N}_{u_s}) \cup \bigcup_{t \in \mathcal{N}_s^* \setminus \mathcal{N}_s} \overline{\phi(\mathcal{N}_{u_t})} \\ &\subseteq \overline{\phi(\mathcal{N}_{u_s})},\end{aligned}$$

by conditions (1) and (4).  $\square$

To see that  $\pi$  is injective, suppose that  $s, t \in \mathbb{N}^{\leq \mathbb{N}}$  are distinct. If there is a least  $n \leq \min\{|s|, |t|\}$  with  $s \upharpoonright n \neq t \upharpoonright n$ , then condition (6) ensures that  $\overline{\phi(\mathcal{N}_{u_{s \upharpoonright n}})}$  and  $\overline{\phi(\mathcal{N}_{u_{t \upharpoonright n}})}$  are disjoint, and since Lemma 1.3 implies that  $\pi(s)$  is in the former and  $\pi(t)$  is in the latter, it follows that they are distinct. Otherwise, after reversing the roles of  $s$  and  $t$  if necessary, we can assume that there exists  $n < |t|$  for which  $s = t \upharpoonright n$ . But then condition (5) ensures that  $\pi(s) \notin \overline{\phi(\mathcal{N}_{u_{t \upharpoonright (n+1)}})}$ , while Lemma 1.3 implies that  $\pi(t) \in \overline{\phi(\mathcal{N}_{u_{t \upharpoonright (n+1)}})}$ , thus  $\pi(s) \neq \pi(t)$ .

As  $\mathbb{N}_*^{\leq \mathbb{N}}$  is compact, it only remains to check that  $\pi$  is continuous. And for this, it is enough to check that for all  $n \in \mathbb{N}$  and  $s \in \mathbb{N}_*^{\leq \mathbb{N}}$ , there is an open neighborhood of  $s$  whose image under  $\pi$  is a subset of  $\mathcal{B}_{d_X}(\pi(s), 1/n)$ . Towards this end, note first that if  $s \in \mathbb{N}^{\mathbb{N}}$ , then Lemma 1.3 ensures that  $\pi(\mathcal{N}_{s \upharpoonright (n+1)}^*) \subseteq \overline{\phi(\mathcal{N}_{u_{s \upharpoonright (n+1)}})}$ , so condition (3) implies that  $\mathcal{N}_{s \upharpoonright (n+1)}^*$  is an open neighborhood of  $s$  whose image under  $\pi$  is a subset of  $\mathcal{B}_{d_X}(\pi(s), 1/n)$ . On the other hand, if  $s \in \mathbb{N}^{< \mathbb{N}}$ , then Lemma 1.3 ensures that

$$\begin{aligned}\pi(\mathcal{N}_s^* \setminus \bigcup_{i < n} \mathcal{N}_{s \frown (i)}^*) &= \pi(\{s\} \cup \bigcup_{i \geq n} \mathcal{N}_{s \frown (i)}^*) \\ &\subseteq \{\pi(s)\} \cup \bigcup_{i \geq n} \overline{\phi(\mathcal{N}_{u_{s \frown (i)}})},\end{aligned}$$

so condition (4) implies that  $\mathcal{N}_s^* \setminus \bigcup_{i < n} \mathcal{N}_{s \frown (i)}^*$  is an open neighborhood of  $s$  whose image under  $\pi$  is a subset of  $\mathcal{B}_{d_X}(\pi(s), 1/n)$ .  $\square$

As a corollary, we obtain the following dichotomy theorem characterizing the family of Borel sets which are  $F_\sigma$ .

**Theorem 1.4** (Hurewicz). *Suppose that  $X$  is a Polish space and  $B \subseteq X$  is Borel. Then exactly one of the following holds:*

- (1) *The set  $B$  is  $F_\sigma$ .*
- (2) *There is a topological embedding  $\pi: \mathbb{N}_*^{\leq \mathbb{N}} \rightarrow X$  of  $\mathbb{N}^{\mathbb{N}}$  into  $B$ .*

*Proof.* Proposition 1.1 ensures that conditions (1) and (2) are mutually exclusive. To see that at least one of them holds, let  $G$  denote the  $\aleph_0$ -dimensional dihypergraph consisting of all sequences  $(y_n)_{n \in \mathbb{N}}$  of points of  $B$  converging to a point of  $X \setminus B$ . We say that a set  $W \subseteq X$

is  $G$ -independent if  $G \upharpoonright W = \emptyset$ . Note that the closure of every such subset of  $B$  is contained in  $B$ . In particular, it follows that if  $B$  is a union of countably-many  $G$ -independent sets, then it is  $F_\sigma$ . Otherwise, Lecomte's dichotomy theorem for  $\aleph_0$ -dimensional dihypergraphs of uncountable chromatic number (see [Lec09, Theorem 1.6] or [Mil11, Theorem 18]) yields a dense  $G_\delta$  set  $C \subseteq \mathbb{N}^\mathbb{N}$  for which there is a continuous homomorphism  $\phi: C \rightarrow B$  from  $\mathbb{G}_0^\mathbb{N} \upharpoonright C$  to  $G$ , in which case Proposition 1.2 yields a topological embedding  $\pi: \mathbb{N}_*^{\leq \mathbb{N}} \rightarrow X$  of  $\mathbb{N}^\mathbb{N}$  into  $B$ .  $\square$

There is also a parametrized form of this theorem.

**Theorem 1.5** (Saint Raymond). *Suppose that  $X$  and  $Y$  are Polish spaces and  $R \subseteq X \times Y$  is a Borel set with  $F_\sigma$  horizontal sections. Then  $R$  is a union of countably-many analytic subsets with closed horizontal sections.*

*Proof.* The parametrized form of our earlier dihypergraph is given by

$$G = \{((x_n)_{n \in \mathbb{N}}, y) \in (R^y)^\mathbb{N} \times Y \mid \exists x \in X \setminus R^y \ x = \lim_{n \rightarrow \infty} x_n\}.$$

We say that a set  $S \subseteq X \times Y$  is  $G$ -independent if  $S^y$  is  $G^y$ -independent, for all  $y \in Y$ . Note that the closure of every horizontal section of every such subset of  $R$  is contained in the corresponding horizontal section of  $R$ . Moreover, if  $S \subseteq R$  is analytic, then so too is the set  $\{(x, y) \in X \times Y \mid x \in \overline{S^y}\}$ . In particular, it follows that if  $R$  is a union of countably-many  $G$ -independent analytic subsets, then it is a union of countably-many analytic subsets with closed horizontal sections. Otherwise, the parametrized form of the dichotomy theorem for  $\aleph_0$ -dimensional dihypergraphs of uncountable Borel chromatic number (i.e., the straightforward common generalization of [Mil12, Theorems 18 and 31]) yields a dense  $G_\delta$  set  $C \subseteq \mathbb{N}^\mathbb{N}$  and  $y \in Y$  for which there is a continuous homomorphism  $\phi: C \rightarrow R^y$  from  $\mathbb{G}_0^\mathbb{N} \upharpoonright C$  to  $G^y$ , in which case Proposition 1.2 yields a continuous embedding  $\pi: \mathbb{N}_*^{\leq \mathbb{N}} \rightarrow X$  of  $\mathbb{N}^\mathbb{N}$  into  $R^y$ . As the latter is  $F_\sigma$ , this contradicts Proposition 1.1.  $\square$

Our use of this result will be via the following corollary.

**Theorem 1.6.** *Suppose that  $X$  and  $Y$  are Polish spaces and  $f: X \rightarrow Y$  is an  $F_\sigma$ -to-one Borel function. Then  $f$  is strongly  $\sigma$ -closed-to-one.*

*Proof.* As the set  $R = \text{graph}(f)$  is Borel (see, for example, [Kec95, Proposition 12.4]) and has  $F_\sigma$  horizontal sections, an application of Theorem 1.5 ensures that it is a union of countably-many analytic sets with closed horizontal sections. As the projections of these sets onto  $X$  intersect the preimage of each singleton in a closed set, it follows that  $f$  is strongly  $\sigma$ -closed-to-one.  $\square$

## 2. BAIRE-CLASS ONE FUNCTIONS

Throughout the rest of the paper, we will rely on the following characterization of Baire-class one functions.

**Proposition 2.1.** *Suppose that  $X$  is a topological space,  $Y$  is a second countable metric space, and  $f: X \rightarrow Y$  is a function. Then the following are equivalent:*

- (1) *The function  $f$  is Baire class one.*
- (2) *For all  $\epsilon > 0$ , there is a cover of  $X$  by countably-many closed subsets whose  $f$ -images have  $d_Y$ -diameter strictly less than  $\epsilon$ .*

*Proof.* To see (1)  $\implies$  (2), it is sufficient to show that for all real numbers  $\epsilon > 0$  and open sets  $V \subseteq Y$  of  $d_Y$ -diameter strictly less than  $\epsilon$ , the set  $f^{-1}(V)$  is a union of countably-many closed subsets of  $X$ . But this follows from the fact that  $f^{-1}(V)$  is  $F_\sigma$ .

To see (2)  $\implies$  (1), it is sufficient to show that for all real numbers  $\epsilon > 0$  and open sets  $V \subseteq Y$ , there is an  $F_\sigma$  set  $F \subseteq X$  such that  $f^{-1}(V_\epsilon) \subseteq F \subseteq f^{-1}(V)$ , where  $V_\epsilon = \{y \in Y \mid \mathcal{B}_{d_Y}(y, \epsilon) \subseteq V\}$ . Towards this end, fix a cover  $(C_n)_{n \in \mathbb{N}}$  of  $X$  by closed sets whose  $f$ -images have  $d_Y$ -diameter strictly less than  $\epsilon$ , define  $N = \{n \in \mathbb{N} \mid f(C_n) \cap V_\epsilon \neq \emptyset\}$ , and observe that the set  $F = \bigcup_{n \in N} C_n$  is as desired.  $\square$

As a corollary, we obtain the following.

**Theorem 2.2.** *Suppose that  $X$  and  $Y$  are Polish spaces,  $d_Y$  is a compatible metric on  $Y$ , and  $f: X \rightarrow Y$  is Borel. Suppose further that for all countable sets  $C \subseteq X$  and real numbers  $\epsilon > 0$ , there is a Baire-class one function  $g: X \rightarrow Y$  with  $\sup_{x \in C} d_Y(f(x), g(x)) \leq \epsilon$ . Then  $f$  is Baire class one.*

*Proof.* Suppose, towards a contradiction, that  $f$  is not Baire class one, fix a compatible metric  $d_Y$  on  $Y$ , and appeal to Proposition 2.1 to find  $\delta > 0$  for which there is no cover of  $X$  by countably-many closed subsets whose  $f$ -images have  $d_Y$ -diameter at most  $\delta$ .

A *digraph* on a set  $X$  is an irreflexive subset of  $X \times X$ . A *homomorphism* from a digraph  $G$  on  $X$  to a digraph  $H$  on  $Y$  is a function  $\phi: X \rightarrow Y$  sending  $G$ -related points to  $H$ -related points.

Let  $G_{\delta, f}$  denote the digraph on  $X$  consisting of all  $(w, x) \in X \times X$  for which  $d_Y(f(w), f(x)) > \delta$ . We say that a set  $W \subseteq X$  is  $G_{\delta, f}$ -independent if  $G_{\delta, f} \upharpoonright W = \emptyset$ . Our choice of  $\delta$  ensures that  $X$  is not the union of countably-many closed  $G_{\delta, f}$ -independent sets.



Fix  $s_n^{\Delta_2^0} \in 2^n$  such that  $\forall s \in 2^{<\mathbb{N}} \exists n \in \mathbb{N} s \sqsubseteq s_n^{\Delta_2^0}$ , as well as  $z_n \in 2^{\mathbb{N}}$  for all  $n \in \mathbb{N}$ . Now define a digraph on  $2^{\mathbb{N}}$  by setting

$$\mathbb{G}_0^{\Delta_2^0} = \{(s_n^{\Delta_2^0} \frown (0) \frown z_n, s_n^{\Delta_2^0} \frown (1) \frown z_n) \mid n \in \mathbb{N}\}.$$

The Lecomte-Zeleny dichotomy theorem characterizing analytic graphs of uncountable  $\Delta_2^0$ -measurable chromatic number (see [LZ14, Corollary 4.5]) yields a continuous homomorphism  $\phi: 2^{\mathbb{N}} \rightarrow X$  from this digraph to  $G_{\delta, f}$ . Set

$$C = \phi(\{s_n^{\Delta_2^0} \frown (i) \frown z_n \mid i < 2 \text{ and } n \in \mathbb{N}\})$$

and  $\epsilon = \delta/3$ .

It only remains to check that no function  $g: X \rightarrow Y$  for which  $\sup_{x \in C} d_Y(f(x), g(x)) \leq \epsilon$  is Baire class one. As  $\phi$  is necessarily a homomorphism from the above digraph to the digraph  $G_{\epsilon, g}$  associated with such a function, there can be no cover of  $X$  by countably-many closed subsets whose  $g$ -images have  $d_Y$ -diameter at most  $\epsilon$ , so one more appeal to Proposition 2.1 ensures that  $g$  is not Baire class one.  $\square$

### 3. $F_\sigma$ -TO-ONE FUNCTIONS

The proof of Theorem 1 is based on a technical but useful sufficient condition for the topological embeddability of  $f_0$ .

**Proposition 3.1.** *Suppose that  $Y$  is a Polish space and  $f: \mathbb{N}_*^{\leq \mathbb{N}} \rightarrow Y$  is a Baire-class one function for which there exists  $y \in Y$  such that  $\mathbb{N}^{\mathbb{N}} = f^{-1}(y)$ . Then there is a topological embedding of  $f_0$  into  $f$ .*

*Proof.* Fix a compatible metric  $d_Y$  on  $Y$ .

**Lemma 3.2.** *Suppose that  $\epsilon > 0$ . Then there is a dense open subset  $U$  of  $\mathbb{N}_*^{\leq \mathbb{N}}$  such that  $f(U) \subseteq \mathcal{B}_{d_Y}(y, \epsilon)$ .*

*Proof.* By Proposition 2.1, there is a partition  $(C_n)_{n \in \mathbb{N}}$  of  $\mathbb{N}_*^{\leq \mathbb{N}}$  into closed sets whose  $f$ -images have  $d_Y$ -diameter strictly less than  $\epsilon$ . Then for each non-empty open set  $V \subseteq \mathbb{N}_*^{\leq \mathbb{N}}$ , there exists  $n \in \mathbb{N}$  for which  $C_n$  is non-meager in  $V$ , so there is a non-empty open set  $W \subseteq V$  such that  $C_n$  is comeager in  $W$ . As  $C_n$  is closed, it follows that  $W \subseteq C_n$ , thus the diameter of  $f(W)$  is strictly less than  $\epsilon$ . As  $W$  necessarily contains a point of  $\mathbb{N}^{\mathbb{N}}$ , it follows that  $f(W) \subseteq \mathcal{B}_{d_Y}(y, \epsilon)$ . The union of the non-empty open sets  $W \subseteq \mathbb{N}_*^{\leq \mathbb{N}}$  obtained in this way from non-empty open sets  $V \subseteq \mathbb{N}_*^{\leq \mathbb{N}}$  is therefore as desired.  $\square$

Fix an injective enumeration  $(s_n)_{n \in \mathbb{N}}$  of  $\mathbb{N}^{<\mathbb{N}}$  with the property that  $s_m \sqsubseteq s_n \implies m \leq n$  for all  $m, n \in \mathbb{N}$ , fix a sequence  $(\epsilon_n)_{n \in \mathbb{N}}$  of strictly positive real numbers such that  $0 = \lim_{n \rightarrow \infty} \epsilon_n$ , and for each

$n \in \mathbb{N}$ , set  $m(n+1) = \max\{m \leq n \mid s_m \sqsubseteq s_{n+1}\}$ . Define  $u_\emptyset = \emptyset$ , and recursively appeal to Lemma 3.2 to obtain sequences  $u_{s_{n+1}} \in \mathbb{N}^{<\mathbb{N}}$ , for all  $n \in \mathbb{N}$ , with the property that  $u_{s_{m(n+1)}} \frown s_{n+1}(|s_{m(n+1)}|) \sqsubseteq u_{s_{n+1}}$  and  $f(\mathcal{N}_{u_{s_{n+1}}}^*) \subseteq \mathcal{B}_{d_Y}(y, \min\{\epsilon_n, d_Y(y, f(u_{s_n}))\})$ .

Define  $\pi_X: \mathbb{N}_*^{\leq \mathbb{N}} \rightarrow \mathbb{N}_*^{\leq \mathbb{N}}$  by

$$\pi_X(s) = \begin{cases} u_s & \text{if } s \in \mathbb{N}^{<\mathbb{N}}, \text{ and} \\ \bigcup_{n \in \mathbb{N}} u_{s|n} & \text{otherwise.} \end{cases}$$

Define  $\pi_Y: f_0(\mathbb{N}_*^{\leq \mathbb{N}}) \rightarrow f(X)$  by  $\pi_Y(0) = y$  and  $\pi_Y(f_0(s)) = f(u_s)$ , for all  $s \in \mathbb{N}^{<\mathbb{N}}$ . As both of these functions are continuous injections with compact domains, they are necessarily topological embeddings, thus  $(\pi_X, \pi_Y)$  is a topological embedding of  $f_0$  into  $f$ .  $\square$

*Proof of Theorem 1.* Proposition 1.1 ensures that conditions (1) and (2) are mutually exclusive. To see  $\neg(1) \implies (2)$ , suppose that there exists  $y \in Y$  such that  $f^{-1}(y)$  is not  $F_\sigma$ , and appeal to Theorem 1.4 to obtain a topological embedding  $\pi: \mathbb{N}_*^{\leq \mathbb{N}} \rightarrow X$  of  $\mathbb{N}^{\leq \mathbb{N}}$  into  $f^{-1}(y)$ . Proposition 3.1 then yields a topological embedding  $(\pi_X, \pi_Y)$  of  $f_0$  into  $f \circ \pi$ , and it follows that  $(\pi \circ \pi_X, \pi_Y)$  is a topological embedding of  $f_0$  into  $f$ .  $\square$

#### 4. SIGMA-CONTINUOUS FUNCTIONS

We begin with a technical but useful sufficient condition for the topological embeddability of  $f_1$ .

**Proposition 4.1.** *Suppose that  $X$  and  $Y$  are metric spaces,  $f: X \rightarrow Y$ , and there are a dense  $G_\delta$  set  $C \subseteq \mathbb{N}^{\mathbb{N}}$ , a set  $W \subseteq X$  intersecting the  $f$ -preimage of every singleton in a closed set, and a function  $\phi: C \rightarrow W$ , such that both  $\phi$  and  $f \circ \phi$  are continuous, which is a homeomorphism from  $\mathbb{G}_{0,m}^{\mathbb{N}} \upharpoonright C$  to the  $\aleph_0$ -dimensional dihypergraph  $G_m$  consisting of all convergent sequences  $(x_n)_{n \in \mathbb{N}} \in X^{\mathbb{N}}$  with  $f(\lim_{n \rightarrow \infty} x_n) \neq \lim_{n \rightarrow \infty} f(x_n)$  but  $\{f(x_n) \mid n \in \mathbb{N}\} \subseteq \mathcal{B}_{d_Y}(f(\lim_{n \rightarrow \infty} x_n), 1/m)$ , for all  $m \in \mathbb{N}$ . Then there is a topological embedding of  $f_1$  into  $f$ .*

*Proof.* Fix dense open sets  $U_n \subseteq \mathbb{N}^{\mathbb{N}}$  such that  $\bigcap_{n \in \mathbb{N}} U_n \subseteq C$ . We will recursively construct sequences  $(u_s)_{s \in \mathbb{N}^n}$  of elements of  $\mathbb{N}^{<\mathbb{N}}$ , sequences  $(V_s)_{s \in \mathbb{N}^n}$  of open subsets of  $Y$ , and sequences  $(x_s)_{s \in \mathbb{N}^n}$  of elements of  $X$ , for all  $n \in \mathbb{N}$ , such that:

- (1)  $\forall i \in \mathbb{N} \forall s \in \mathbb{N}^{<\mathbb{N}} u_s \sqsubset u_{s \frown (i)}$ .
- (2)  $\forall i \in \mathbb{N} \forall s \in \mathbb{N}^{<\mathbb{N}} \mathcal{N}_{u_{s \frown (i)}} \subseteq U_{|s|}$ .
- (3)  $\forall s \in \mathbb{N}^{<\mathbb{N}} (f \circ \phi)(\mathcal{N}_{u_s}) \cup \{f(x_s)\} \subseteq V_s$ .
- (4)  $\forall i \in \mathbb{N} \forall s \in \mathbb{N}^{<\mathbb{N}} V_{s \frown (i)} \subseteq V_s$ .

- (5)  $\forall i \in \mathbb{N} \forall s \in \mathbb{N}^{<\mathbb{N}} \text{diam}_{d_X}(\phi(\mathcal{N}_{u_{s \smallfrown (i)}})) < 1/|s|.$
- (6)  $\forall i \in \mathbb{N} \forall s \in \mathbb{N}^{<\mathbb{N}} \text{diam}_{d_Y}(V_{s \smallfrown (i)}) < 1/|s|.$
- (7)  $\forall i \in \mathbb{N} \forall s \in \mathbb{N}^{<\mathbb{N}} \overline{\phi(\mathcal{N}_{u_{s \smallfrown (i)}})} \subseteq \mathcal{B}_{d_X}(x_s, 1/i).$
- (8)  $\forall s \in \mathbb{N}^{<\mathbb{N}} f(x_s) \notin \overline{\bigcup_{i \in \mathbb{N}} V_{s \smallfrown (i)}}.$
- (9)  $\forall i \in \mathbb{N} \forall s \in \mathbb{N}^{<\mathbb{N}} V_{s \smallfrown (i)} \cap \overline{\bigcup_{j \in \mathbb{N} \setminus \{i\}} V_{s \smallfrown (j)}} = \emptyset.$

We begin by setting  $u_\emptyset = \emptyset$  and  $V_\emptyset = Y$ . Suppose now that  $n \in \mathbb{N}$  and we have already found  $(u_s)_{s \in \mathbb{N}^{\leq n}}$ ,  $(V_s)_{s \in \mathbb{N}^{\leq n}}$ , and  $(x_s)_{s \in \mathbb{N}^{<n}}$ . For each  $s \in \mathbb{N}^n$ , fix  $\delta_s > 0$  as well as  $u'_s \in \mathbb{N}^{<\mathbb{N}}$  such that  $u_s \sqsubseteq u'_s$ ,  $\mathcal{N}_{u'_s} \subseteq U_n$ ,  $\text{diam}_{d_X}(\phi(\mathcal{N}_{u'_s})) < 1/n$ ,  $\text{diam}_{d_Y}((f \circ \phi)(\mathcal{N}_{u'_s})) < 3/2n$ , and  $\mathcal{B}_{d_Y}((f \circ \phi)(\mathcal{N}_{u'_s}), \delta_s) \subseteq V_s$ . Fix a natural number  $n_s \geq 1/\delta_s$  such that  $u'_s \sqsubseteq s_{n_s}^{\mathbb{N}}$ , appeal to the Baire category theorem to find  $z_s \in \mathbb{N}^{\mathbb{N}}$  with the property that  $s_{n_s}^{\mathbb{N}} \smallfrown (i) \smallfrown z_s \in C$  for all  $i \in \mathbb{N}$ , and define  $x_{i,s} = \phi(s_{n_s}^{\mathbb{N}} \smallfrown (i) \smallfrown z_s)$  and  $y_{i,s} = f(x_{i,s})$  for all  $i \in \mathbb{N}$ , as well as  $x_s = \lim_{i \rightarrow \infty} x_{i,s}$ . The fact that  $f(x_s) \neq \lim_{i \rightarrow \infty} y_{i,s}$  ensures the existence of an infinite set  $I_s \subseteq \mathbb{N}$  for which  $f(x_s) \notin \overline{\{y_{i,s} \mid i \in I_s\}}$ . Note that there can be no infinite set  $J \subseteq I_s$  such that  $(y_{j,s})_{j \in J}$  is constant, since otherwise the fact that  $\phi(C) \subseteq W$  would imply that  $f(x_s) = y_{j,s}$ , for all  $j \in J$ . So by passing to an infinite subset of  $I_s$ , we can assume that  $(y_{i,s})_{i \in I_s}$  is injective. By passing to a further infinite subset of  $I_s$ , we can ensure that  $(y_{i,s})_{i \in I_s}$  has at most one limit point. By eliminating this limit point from the sequence if necessary, we can therefore ensure that  $y_{i,s} \notin \overline{\{y_{j,s} \mid j \in I_s \setminus \{i\}\}}$ , for all  $i \in I_s$ . Similarly, we can assume that  $x_s \notin \overline{\{x_{i,s} \mid i \in I_s\}}$ . By passing one last time to an infinite subset of  $I_s$ , we can assume that  $d_X(x_s, x_{i_{k,s},s}) < 1/k$  for all  $k \in \mathbb{N}$ , where  $(i_{k,s})_{k \in \mathbb{N}}$  is the strictly increasing enumeration of  $I_s$ . For each  $k \in \mathbb{N}$ , fix  $\epsilon_{k,s}^X > 0$  strictly less than  $1/k - d_X(x_s, x_{i_{k,s},s})$ , and fix  $\epsilon_{k,s}^Y > 0$  strictly less than  $d_Y(f(x_s), y_{i_{k,s},s})/2$  and  $d_Y(y_{i,s}, y_{i_{k,s},s})/3$ , for all  $i \in I_s \setminus \{i_{k,s}\}$ . Set  $V_{s \smallfrown (k)} = \mathcal{B}_{d_Y}(y_{i_{k,s},s}, \epsilon_{k,s}^Y) \cap V_s$ , and fix an initial segment  $u_{s \smallfrown (k)}$  of  $s_{n_s}^{\mathbb{N}} \smallfrown (i_{k,s}) \smallfrown z_s$  of length at least  $n_s + 1$  with the property that  $\phi(\mathcal{N}_{u_{s \smallfrown (k)}}) \subseteq \mathcal{B}_{d_X}(x_{i_{k,s},s}, \epsilon_{k,s}^X)$  and  $(f \circ \phi)(\mathcal{N}_{u_{s \smallfrown (k)}}) \subseteq V_{s \smallfrown (k)}$ . Our choice of  $u'_s$  ensures that conditions (1), (2), and (5) hold, and along with the fact that  $\phi$  is a homomorphism, that condition (3) holds as well. Condition (4) holds trivially, and the remaining conditions follow from our upper bounds on  $\epsilon_{k,s}^X$  and  $\epsilon_{k,s}^Y$ . This completes the recursive construction.

By condition (1), we obtain a continuous function  $\psi: \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$  by setting  $\psi(s) = \bigcup_{n \in \mathbb{N}} u_{s \upharpoonright n}$ . Condition (2) ensures that  $\psi(\mathbb{N}^{\mathbb{N}}) \subseteq C$ . Set  $x_s = (\phi \circ \psi)(s)$  for  $s \in \mathbb{N}^{\mathbb{N}}$ , and define  $\pi_X: \mathbb{N}_*^{\leq \mathbb{N}} \rightarrow X$  and  $\pi_Y: \mathbb{N}_{**}^{\leq \mathbb{N}} \rightarrow Y$  by  $\pi_X(s) = x_s$  and  $\pi_Y = f \circ \pi_X$ . We will show that  $(\pi_X, \pi_Y)$  is a topological embedding of  $f_1$  into  $f$ .

**Lemma 4.2.** *Suppose that  $s \in \mathbb{N}^{<\mathbb{N}}$ . Then  $\pi_X(\mathcal{N}_s^*) \subseteq \overline{\phi(\mathcal{N}_{u_s})}$ .*

*Proof.* Simply observe that

$$\begin{aligned} \pi_X(\mathcal{N}_s^*) &= (\phi \circ \psi)(\mathcal{N}_s) \cup \{x_t \mid t \in \mathcal{N}_s^* \setminus \mathcal{N}_s\} \\ &\subseteq \phi(\mathcal{N}_{u_s}) \cup \bigcup_{t \in \mathcal{N}_s^* \setminus \mathcal{N}_s} \overline{\phi(\mathcal{N}_{u_t})} \\ &\subseteq \overline{\phi(\mathcal{N}_{u_s})}, \end{aligned}$$

by conditions (1) and (7).  $\square$

**Lemma 4.3.** *Suppose that  $s \in \mathbb{N}^{<\mathbb{N}}$ . Then  $\pi_Y(\mathcal{N}_s^*) \subseteq V_s$ .*

*Proof.* Simply observe that

$$\begin{aligned} \pi_Y(\mathcal{N}_s^*) &= (f \circ \phi \circ \psi)(\mathcal{N}_s) \cup \{f(x_t) \mid t \in \mathcal{N}_s^* \setminus \mathcal{N}_s\} \\ &\subseteq (f \circ \phi)(\mathcal{N}_{u_s}) \cup \{f(x_t) \mid t \in \mathcal{N}_s^* \setminus \mathcal{N}_s\} \\ &\subseteq V_s \cup \bigcup_{t \in \mathcal{N}_s^* \setminus \mathcal{N}_s} V_t \\ &\subseteq V_s, \end{aligned}$$

by conditions (3) and (4).  $\square$

To see that  $\pi_X$  and  $\pi_Y$  are injective, it is enough to check that the latter is injective. Towards this end, suppose that  $s, t \in \mathbb{N}^{<\mathbb{N}}$  are distinct. If there is a least  $n \leq \min\{|s|, |t|\}$  with  $s \upharpoonright n \neq t \upharpoonright n$ , then condition (9) ensures that  $V_{s \upharpoonright n}$  and  $V_{t \upharpoonright n}$  are disjoint, and since Lemma 4.3 implies that  $\pi_Y(s)$  is in the former and  $\pi_Y(t)$  is in the latter, it follows that they are distinct. Otherwise, after reversing the roles of  $s$  and  $t$  if necessary, we can assume that there exists  $n < |t|$  for which  $s = t \upharpoonright n$ . But then condition (8) ensures that  $\pi_Y(s) \notin V_{t \upharpoonright (n+1)}$ , while Lemma 4.3 implies that  $\pi_Y(t) \in V_{t \upharpoonright (n+1)}$ , thus  $\pi_Y(s) \neq \pi_Y(t)$ .

To see that  $\pi_X$  is a topological embedding, it only remains to show that it is continuous (since  $\mathbb{N}_*^{<\mathbb{N}}$  is compact). And for this, it is enough to check that for all  $n \in \mathbb{N}$  and  $s \in \mathbb{N}_*^{<\mathbb{N}}$ , there is an open neighborhood of  $s$  whose image under  $\pi_X$  is a subset of  $\mathcal{B}_{d_X}(\pi_X(s), 1/n)$ . Towards this end, observe that if  $s \in \mathbb{N}^{\mathbb{N}}$ , then Lemma 4.2 ensures that  $\pi_X(\mathcal{N}_{s \upharpoonright (n+1)}^*) \subseteq \overline{\phi(\mathcal{N}_{u_{s \upharpoonright (n+1)}})}$ , so condition (5) implies that  $\mathcal{N}_{s \upharpoonright (n+1)}^*$  is an open neighborhood of  $s$  whose image under  $\pi_X$  is a subset of  $\mathcal{B}_{d_X}(\pi_X(s), 1/n)$ . And if  $s \in \mathbb{N}^{<\mathbb{N}}$ , then Lemma 4.2 ensures that

$$\begin{aligned} \pi_X(\mathcal{N}_s^* \setminus \bigcup_{i < n} \mathcal{N}_{s \frown (i)}^*) &= \pi_X(\{s\} \cup \bigcup_{i \geq n} \mathcal{N}_{s \frown (i)}^*) \\ &\subseteq \{\pi_X(s)\} \cup \bigcup_{i \geq n} \overline{\phi(\mathcal{N}_{u_{s \frown (i)}})}, \end{aligned}$$

so condition (7) implies that  $\mathcal{N}_s^* \setminus \bigcup_{i < n} \mathcal{N}_{s \frown (i)}^*$  is an open neighborhood of  $s$  whose image under  $\pi_X$  is a subset of  $\mathcal{B}_{d_X}(\pi_X(s), 1/n)$ .

To see that  $\pi_Y$  is continuous, it is sufficient to check that for all  $n \in \mathbb{N}$  and  $s \in \mathbb{N}_{**}^{\leq \mathbb{N}}$ , there is an open neighborhood of  $s$  whose image under  $\pi_Y$  is contained in  $\mathcal{B}_{d_Y}(\pi_Y(s), 1/n)$ . Towards this end, observe that if  $s \in \mathbb{N}^{\mathbb{N}}$ , then Lemma 4.3 ensures that  $\pi_Y(\mathcal{N}_{s|(n+1)}^*) \subseteq V_{s|(n+1)}$ , so condition (6) implies that  $\mathcal{N}_{s|(n+1)}^*$  is an open neighborhood of  $s$  whose image under  $\pi_Y$  is contained in  $\mathcal{B}_{d_Y}(\pi_Y(s), 1/n)$ . And if  $s \in \mathbb{N}^{<\mathbb{N}}$ , then  $\{s\}$  is an open neighborhood of  $s$  whose image under  $\pi_Y$  is a subset of  $\mathcal{B}_{d_Y}(\pi_Y(s), 1/n)$ .

Before showing that  $\pi_Y$  is a topological embedding, we first establish several lemmas.

**Lemma 4.4.** *Suppose that  $s \in \mathbb{N}^{<\mathbb{N}}$ . Then  $\pi_Y(\mathcal{N}_s^*) = \overline{V_s} \cap \pi_Y(\mathbb{N}_{**}^{\leq \mathbb{N}})$ .*

*Proof.* Lemma 4.3 ensures that  $\pi_Y(\mathcal{N}_s^*) \subseteq \overline{V_s} \cap \pi_Y(\mathbb{N}_{**}^{\leq \mathbb{N}})$ , so it is enough to show that  $\pi_Y(\mathbb{N}_{**}^{\leq \mathbb{N}} \setminus \mathcal{N}_s^*) \cap \overline{V_s} = \emptyset$ . Towards this end, note that if  $t \in \mathbb{N}_{**}^{\leq \mathbb{N}} \setminus \mathcal{N}_s^*$ , then either there exists a least  $n \leq \min\{|s|, |t|\}$  for which  $s \upharpoonright n$  and  $t \upharpoonright n$  are incompatible, or  $t \sqsubset s$ . In the former case, condition (9) implies that  $\overline{V_{s \upharpoonright n}}$  and  $V_{t \upharpoonright n}$  are disjoint, and since Lemma 4.3 implies that  $\pi_Y(t)$  is in the latter, it is not in the former. But then it is also not in  $\overline{V_s}$ , by condition (4). In the latter case, set  $n = |t|$ , and appeal to condition (8) to see that  $\pi_Y(t)$  is not in  $\overline{V_{s|(n+1)}}$ . But then it is also not in  $\overline{V_s}$ , by condition (4).  $\square$

**Lemma 4.5.** *Suppose that  $s \in \mathbb{N}^{<\mathbb{N}}$ . Then*

$$\pi_Y(\mathcal{N}_s^*) = \pi_Y(\mathbb{N}_{**}^{\leq \mathbb{N}}) \setminus (\overline{\bigcup_{t \perp s} V_t} \cup \{\pi_Y(t) \mid t \sqsubset s\}).$$

*Proof.* To see that  $\pi_Y(\mathcal{N}_s^*) \cap (\overline{\bigcup_{t \perp s} V_t} \cup \{\pi_Y(t) \mid t \sqsubset s\}) = \emptyset$ , note that if  $t \perp s$ , then there is a maximal  $n < \min\{|s|, |t|\}$  with the property that  $s \upharpoonright n = t \upharpoonright n$ , in which case  $t$  is an extension of  $(s \upharpoonright n) \frown (j)$ , for some  $j \in \mathbb{N} \setminus \{s(n)\}$ . Condition (4) therefore ensures that

$$\overline{\bigcup_{t \perp s} V_t} = \bigcup_{n < |s|} \overline{\bigcup_{j \in \mathbb{N} \setminus \{s(n)\}} V_{(s \upharpoonright n) \frown (j)}}.$$

As Lemma 4.3 implies that  $\pi_Y(\mathcal{N}_s^*) \subseteq V_s$ , and condition (4) ensures that  $V_s \subseteq V_{s|(n+1)}$  for all  $n < |s|$ , it follows from condition (9) that  $\pi_Y(\mathcal{N}_s^*) \cap \overline{\bigcup_{t \perp s} V_t} = \emptyset$ .

To see that  $\pi_Y(\mathbb{N}_{**}^{\leq \mathbb{N}} \setminus \mathcal{N}_s^*) \subseteq \overline{\bigcup_{t \perp s} V_t} \cup \{\pi_Y(t) \mid t \sqsubset s\}$ , note that if  $t \in \mathbb{N}_{**}^{\leq \mathbb{N}} \setminus \mathcal{N}_s^*$  and  $t \not\sqsubset s$ , then there exists  $n \leq \min\{|s|, |t|\}$  such that  $s \upharpoonright n$  and  $t \upharpoonright n$  are incompatible, so Lemma 4.3 ensures that  $\pi_Y(t) \in \overline{\bigcup_{t \perp s} V_t}$ .  $\square$

**Lemma 4.6.** *Suppose that  $s \in \mathbb{N}^{<\mathbb{N}}$ . Then  $\pi_Y(s)$  is the unique element of  $\pi_Y(\mathbb{N}_{**}^{\leq \mathbb{N}}) \setminus (\overline{\bigcup_{t \not\sqsupseteq s} V_t} \cup \{\pi_Y(t) \mid t \sqsubset s\})$ .*

*Proof.* As  $\overline{\bigcup_{t \in \mathbb{Z}_s} V_t} = \overline{\bigcup_{t \perp s} V_t} \cup \overline{\bigcup_{i \in \mathbb{N}} V_{s \sim (i)}}$  by condition (4), Lemma 4.5 ensures that we need only show that  $\pi_Y(s)$  is the unique element of  $\pi_Y(\mathcal{N}_s^*) \setminus \overline{\bigcup_{i \in \mathbb{N}} V_{s \sim (i)}}$ . Condition (8) ensures that  $\pi_Y(s)$  is in this set, while Lemma 4.3 implies that the other points of  $\pi_Y(\mathcal{N}_s^*)$  are not.  $\square$

It remains to show that  $\pi_Y(\mathcal{N}_s^*)$  and  $\{\pi_Y(s)\}$  are clopen in  $\pi_Y(\mathbb{N}_{**}^{\leq \mathbb{N}})$ , for all  $s \in \mathbb{N}^{\mathbb{N}}$ . The former is a consequence of Lemmas 4.4 and 4.5, while the latter follows from Lemma 4.6.  $\square$

**Lemma 4.7.** *Suppose that  $W \subseteq X$  is  $G$ -independent. Then so is  $\overline{W}$ .*

*Proof.* We must show that if  $x = \lim_{n \rightarrow \infty} \overline{w}_n$  and each  $\overline{w}_n$  is in  $\overline{W}$ , then  $f(x) = \lim_{n \rightarrow \infty} f(\overline{w}_n)$ . For each  $n \in \mathbb{N}$ , write  $\overline{w}_n = \lim_{m \rightarrow \infty} w_{m,n}$ , where each  $w_{m,n}$  is in  $W$ . The fact that  $W$  is  $G$ -independent then ensures that  $f(\overline{w}_n) = \lim_{m \rightarrow \infty} f(w_{m,n})$ . Fix  $m_n \in \mathbb{N}$  such that both  $d_X(w_{m_n,n}, \overline{w}_n)$  and  $d_Y(f(w_{m_n,n}), f(\overline{w}_n))$  are at most  $1/n$ . It then follows that  $x = \lim_{n \rightarrow \infty} w_{m_n,n}$ , so one more appeal to the fact that  $W$  is  $G$ -independent yields that  $f(x) = \lim_{n \rightarrow \infty} f(w_{m_n,n}) = \lim_{n \rightarrow \infty} f(\overline{w}_n)$ .  $\square$

*Proof of Theorem 2.* Clearly, if (1) holds then  $f^{-1}(C)$  is  $F_\sigma$  for every closed set  $C \subseteq Y$ . Hence conditions (1) and (2) are mutually exclusive. To see that at least one of them holds, let  $G$  denote the  $\aleph_0$ -dimensional dihypergraph on  $X$  consisting of all convergent sequences  $(x_n)_{n \in \mathbb{N}}$  such that  $f(\lim_{n \rightarrow \infty} x_n) \neq \lim_{n \rightarrow \infty} f(x_n)$ , and fix compatible metrics  $d_X$  and  $d_Y$  on  $X$  and  $Y$ , respectively.

As  $f$  is continuous on a closed set if and only if the set in question is  $G$ -independent, it follows that if  $X$  is a union of countably-many  $G$ -independent sets, then  $f$  is  $\sigma$ -continuous with closed witnesses. We can therefore focus on the case that  $X$  is not a union of countably-many  $G$ -independent sets. While it is not difficult to see that condition (1) fails in this case, simply applying the dichotomy for  $\aleph_0$ -dimensional analytic dihypergraphs of uncountable chromatic number (see [Lec09, Theorem 1.6] or [Mil11, Theorem 18]) will not yield the sort of homomorphism we require. So instead, we will use our further assumptions to obtain a homomorphism with stronger properties.

For each  $\epsilon > 0$ , let  $G_\epsilon$  denote the  $\aleph_0$ -dimensional dihypergraph on  $X$  consisting of all sequences  $(x_n)_{n \in \mathbb{N}} \in G$  with the property that  $\{f(x_n) \mid n \in \mathbb{N}\} \subseteq \mathcal{B}_{d_Y}(f(\lim_{n \rightarrow \infty} x_n), \epsilon)$ . Note that if  $B \subseteq X$  is a  $G_\epsilon$ -independent set and  $C \subseteq X$  is a closed set whose  $f$ -image has  $d_Y$ -diameter strictly less than  $\epsilon$ , then  $B \cap C$  is  $G$ -independent. As Proposition 2.1 ensures that  $X$  is a union of countably-many closed sets whose  $f$ -images have  $d_Y$ -diameter strictly less than  $\epsilon$ , it follows that every  $G_\epsilon$ -independent set is a union of countably-many  $G$ -independent sets.

We say that a set  $W \subseteq X$  is *eventually  $(G_\epsilon)_{\epsilon>0}$ -independent* if there exists  $\epsilon > 0$  for which it is  $G_\epsilon$ -independent. As  $X$  is not a union of countably-many  $G$ -independent sets, it follows that it is not a union of countably-many eventually  $(G_\epsilon)_{\epsilon>0}$ -independent sets. Again, however, simply applying the sequential  $\aleph_0$ -dimensional analog of the  $\mathbb{G}_0$  dichotomy theorem (i.e., the straightforward common generalization of [Mil12, Theorems 18 and 21]) will not yield the sort of homomorphism we require, and we must once more appeal to our further assumptions.

Theorem 1.6 ensures that  $X$  is a union of countably-many analytic sets whose intersection with the  $f$ -preimage of each singleton is closed. As  $X$  is not a union of countably-many eventually  $(G_\epsilon)_{\epsilon>0}$ -independent sets, it follows that there is an analytic set  $A \subseteq X$ , whose intersection with the  $f$ -preimage of each singleton is closed, that is not a union of countably-many eventually  $(G_\epsilon)_{\epsilon>0}$ -independent sets.

At long last, we now appeal to the sequential  $\aleph_0$ -dimensional analog of the  $\mathbb{G}_0$  dichotomy theorem (i.e., the straightforward common generalization of [Mil12, Theorems 18 and 21]) to obtain a dense  $G_\delta$  set  $C \subseteq \mathbb{N}^\mathbb{N}$  and a continuous function  $\phi: C \rightarrow A$  which is a homomorphism from  $\mathbb{G}_{0,n}^\mathbb{N} \upharpoonright C$  to  $G_{1/n}$ , for all  $n \in \mathbb{N}$ . In fact, by first replacing the given topology of  $X$  with a finer Polish topology consisting only of Borel sets but with respect to which  $f$  is continuous (see, for example, [Kec95, Theorem 13.11]), we can ensure that  $f \circ \phi$  is continuous as well. An application of Proposition 4.1 therefore yields the desired topological embedding of  $f_1$  into  $f$ .  $\square$

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